Serial #:10/797,978

Reference #: EX02-049C2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Hager et al

Serial No.: 10/797,978 Filed: 3/10/2004

For:

MULTI-WELL APPARATUS

Confirmation No: 5004

Attorney Docket No: EX02-049C2

RECEIVED **CENTRAL FAX CENTER**

APR 2 6 2005

PETITION TO REVIVE UNDER 37 CFR 1.137(b)

Assistant Commissioner of Patents Alexandria, VA 22313-1450

Dear Commissioner:

In response to the Notice of Abandonment for the above-identified application, applicants hereby submit a petition requesting the application be revived on the grounds of UNINTENTIONAL DELAY.

This petition is accompanied by the following:

- 1) A copy of the Notice of Abandonment mailed 2/15/2005;
- 2) A copy of the notice to file missing parts mailed 5/27/2004;
- 3) The executed oath/declaration and assignment;
- 4) The petition fee of \$1500.00 as set forth in 37 CFR 1.17(m);
- 5) PTO form PTO/SB/64;
- 6) Information Disclosure Statement.

Applicants hereby state that the entire delay in this case was unintentional.

The Commissioner is authorized to charge the petition fee of \$1500, the oath and declaration late submission fee of \$130.00, and any other fees required for consideration of this paper and any other papers filed herewith to deposit account number 50-1108.

Respectfully submitted,

Dated: April 26, 2005

Laleh Shayesteh

Reg. No. 47,937

EXELIXIS, INC.

170 Harbor Way, P.O. Box 511

South San Francisco, California 94083-0511

Telephone: (650) 837-8223 Facsimile: (650) 837-8234

PTO/SB/64 (09-04)
Approved for usethrough 07/31/2005. OMB 0551-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)					
First named inventor: Hager		·			
Application No.: 10/797,978	Art Unit:				
Filed: 3/10/2004	Examiner:	RECEIVED CENTRAL FAX CEN) NTER		
Title: MULTI-WELL APPARATUS		APR 2 6 20	05		
Attention: Office of Petitions Mall Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 FAX: (703) 872-9306	,	APR 2 0 4			
NOTE: If information or assistance is needed in Petitions Information at (703) 305-9282		please contact			
The above-identified application became abandoned for fallure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained.					
APPLICANT HEREBY PETITIONS FOR REVI	/AL OF THIS APPLICATIO	ОИ			
NOTE: A grantable petition requires the following item (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with disclaimer fe applications filed before June 8, 1995 (4) Statement that the entire delay was u	e required for all utilit ; and for all design app				
1. Petition fee Small entity - fee \$ (37 CFR 1.17(m)). Applicant of	claims small entity statu	s. See 37 CFR 1.27.			
☑ Other than small entity - fee \$1500.00 (37 CFR 1.17(m)))	ļ			
2. Reply and/or fee A. The reply and/or fee to the above-noted Office action in the form of oath and declaration (identify type of reply):					
☑ is enclosed herewith.					
B. The issue fee of \$					
☐ has been paid previously on ☐ is enclosed herewith.					

[Page 1 of 2]

This collection of Information is required by 37 CFR 1.137. Theinformation is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chiefinformation Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

04/28/2005 MBIZUNES 00000050 501108 10

10797978

02 FC:1453

1500.00 DA

	U.S Patent and Tra Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of infor	PTO/SB/64 (09-04) Approved for use 07/31/2006. OMB 0651-0031 edemark Office; U.S. DEPARTMENT OF COMMERCE rmatton unless it displays a vaiid OMB control number.			
	Under the Paperwork Reduction Act of 1995, no persons are response to the second of the Paperwork Reduction Act of 1995, no persons are response.	many i			
3.	Terminal disclaimer with disclaimer fee	!			
	Since this utility/plant application was filed on or after June 8, 1995, r	no terminal disclaimer is required.			
	A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ for a small entity or \$ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).				
4.	4. STATEMENT. The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(b) was unintentional (MPEP 711.03(c), subsections (III)(C) and (D))].				
	WARNING: Information on this form may become public. Credi included on this form. Provide credit card information and authorized the control of the control	horization on PTO-2038.			
	XIIIIII	April 26, 2005			
	Signature	Date			
	Laten Shayesteh	47,937 Registration Number, if applicable			
	Typed or printed name	•			
So	Exelixis, Inc., 170 Harbor Way, P.O. Box 511, outh San Francisco, California 94083-0511	650-837-8223 Telephone Number			
	Address				
	Address				
Er	nclosures: 🛛 Fee Payment				
	⊠ Reply				
	☐ Terminal Disclaimer Form				
	Additional sheets containing statements establishing unin	ntentional delay			
	Other: Petition statement, Oath and Declaration, Assignation	ment, IDS, Return Receipt Postcard			
ſ	CERTIFICATE OF MAILING OR TRANSMISSION (37	/ CFR 1.8(A)]			
-	I hereby certify that this correspondence is being:	·			
	deposited with the United States Postal Service on the date shown bel class mail in an envelope addressed to: Mail Stop Petition, Commissio Alexandria, VA 22313-1450.	ow with sufficient postage as first uner for Patents, P.O. Box 1450,			
	★ transmitted by facsimile on the date shown below to the United States (703) 872-9306.	Patent and Trademark Office at			

[Page 2 of 2]

Laleh Shayesteh

Typed or printed name of person signing certificate

April 26, 2005

Date



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS (O. Sea 1419) Alexandra, Vegains 22313-1450

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY, DOCKET NO./TITLE

10/797,978

03/10/2004

Hager

EX02-049C2

CONFIRMATION NO. 5004
ABANDONMENT/TERMINATION
LETTER

OC000000015189006

23500
PATENT DEPT
EXELIXIS, INC.
170 HARBOR WAY
P.O. BOX 511
SOUTH SAN FRANCISCO, CA 94083-0511

Date Mailed: 02/15/2005

NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 05/27/2004.

No reply was received.

If a complete reply to the notice was previously filed by applicant within the time period set forth in the notice, applicant may request for reconsideration of the holding of abandonment within 2 months from the mailing of this notice of abandonment by filing a petition to withdraw the holding of abandonment under 37 CFR 1.181(a). No petition fee is required. The petition must be accompanied by a true copy of the originally filed reply and the item (s) identified in one of the following:

- 1. A properly itemized date-stamped postcard receipt (see MPEP § 503);
- 2. If the originally filed reply included a certificate of mailing or transmission in compliance with 37 CFR 1.8(a), a copy of the certificate of mailing or transmission and a statement in compliance with 37 CFR 1.8(b) (see MPEP §§ 512); or
- 3. If the reply was filed via Express Mail, a submission satisfying the requirements of 37 CFR 1.10(e) including, for example, a copy of the Express Mail mailing label showing the "date-in" (see MPEP § 513).

Any petition to withdraw the holding of abandonment should be directed to OIPE.

If applicant did not previously file a complete reply within the time period set forth in the notice, applicant may file a petition to revive the application under 37 CFR 1.137.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of UNAVOIDABLE DELAY must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(i); and (4) a terminal disclaimer if required by 37 CFR 1.137(d). See MPEP § 711.03(c) and Form PTO/SB/61.

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of UNINTENTIONAL DELAY must be filed promptly after applicant becomes aware of the abandonment and such petition must be

FEB 23 2005

accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d). See MPEP § 711.03(c) and Form PTO/SB/64.

Any questions concerning petitions to revive should be directed to the "Office of Petitions" at (703) 305-9282.Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS 9.0. Box 1459 Alexandria, Vinisia 2011-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/797,978

03/10/2004

Hager

EX02-049C2

CONFIRMATION NO. 5004

FORMALITIES LETTER

OC000000012790267

23500 JAN P. BRUNELLE EXELIXIS, INC. 170 HARBOR WAY P.O. BOX 511 SOUTH SAN FRANCISCO, CA 94083-0511

Date Mailed: 05/27/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/28/2005 MBIZUNES 00000050 501108

10797978

FILED UNDER 37 CFR 1.53(b)

01 FC:1051

130.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

RASOLDER

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

PTO/SB/01 (09-04) Approved for use through 07/31/2006, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paparwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

EX02-049C2 Attorney Docket Number **DECLARATION FOR UTILITY OR** Hager, et al First Named Inventor **DESIGN** COMPLETE IF KNOWN PATENT APPLICATION (37 CFR 1.63) 10/797,978 **Application Number** ■Declaration □ Declaration Filing Date 3/10/2004 Submitted OR Submitted after Initial With Initial Filing (surcharge Art Unit (37 CFR 1.16 (e)) Filling required) **Examiner Name**

I hereby declare that:						
Each inventor's residence, mailing address, and citizenship are as stated below next to their name.						
I believe the inventor(s) nar Is sought on the Invention e	med below to be the original an	d first inventor(s) of the subje	ect matter which is o	daimed and for whi	ich a patent	
Multi-Well Appara						
the specification of which	(Title of th	ne Invention)	· · · · · · · · · · · · · · · · · · ·	<u>-</u>		
is attached hereto						
OR was filed on (MM/DD	(YYYY) 03/10/2004	as United States Ap	plication Number o	r PCT International	I	
Application Number	10/797.978 and	was amended on (MM/DD/Y	YYY)	(if	applicable;	
I hereby state that I have rev amended specifically referre	viewed and understand the cod to above.	entents of the above identif	ied specification, i	including the claim	ıs. as	
continuation-in-part applicati	sclose information which is n ions, material information whi ional filing date of the continu	ich became available betwe	efined in 37 CFR een the filing date	1.56, including for of the prior applic	ation and	
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 385(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filling date before that of the application on which priority is claimed.						
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy		
				YES	NO NO	
	·][
Additional foreign applicati	ion numbers are listed on a sur	pplemental priority data sheet	PTO/SB/02B attac	ched hereto:	· · · · · · · · · · · · · · · · · · ·	

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PPR-26-2005 12:14 EXELIXIS P.12/29

PTC/SB/01 (09-04)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office, U.S.		
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DECLARATION — Utility or Design Patent Application						
Direct all correspondence to:	per	23500		OR		Correspondence address below
Name Exelixis, inc.						
Address						
170 Harbor Way						
City	State				ZIP	
South San Francisco	CA				9408	33-0511
Country		Teleph	one		T	Fax
US		650-837				650-837-8234
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.						
NAME OF SOLE OR FIRST INVENTOR:		A petit	ion has	been file	ed fo	r this unsigned inventor
Given Name (first and middle [if any]) David Clarence		Family Name or Surname Hager				
Inventor's Signature	2_			Date	//	26/05
Residence: City San Francisco	State CA		Count	ry (/	,	Cidzenship US
Malling Address 2542 46th Avenue						
City	State		Zip			Country
San Francisco	CA		94116	3		us
NAME OF SECOND INVENTOR:		A petiti	on has t	oeen file	d fo	r this unsigned inventor
Given Name (first and middle [if any]) 900 37 Jeffery D. JEFFREY D,	1812005	1	ly Name Ildson	or Surr	ame	
Inventor's Signature Offer Or Orldan				Date	3/	18 (2005
Residence: City Tigard	State OR		Countr US	У		Citizenship US
Mailing Address 8550 S.W. Colony Creek Court						
City	State		Zip			Country
Tigard	OR		97224			US
Additional inventors or a legal representative are being na	med on the 2 su	atnemeloc	l sheet(s)	PTO/SB/0	12A o	r 02LR attached hereto.

[Page 2 of 2]

APR-26-2005 **EXELIXIS** P.13/29 12:14

PTQ/SB/02A (09-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet

Page 3 of 3

		<u>:</u>	_				
Name of Additional Inventor, if any				A petition has been filed for this unsigned inventor			
Given N	Name (first and middle	e [if any])			F	amily	Name or Surname
Patrick				Kea	irney		
Inventor's Signature	el e-	-2					Date 3/24/05
Residence: City	San Francisco	State CA		Coun	US try		US Citizenship
Mailing Address	195 Bocana Street	:			-		
Mailing Address							
City San Francisco		CA State		ZìP	974110	Co	US untry
Name of Additions	il Inventor, if an	у		□ A	petition has been file	ed for t	this unsigned inventor
Given Name (first and middle [if any])				Family Name or Sumame			
Douglas O.				Keller			
Inventor's Signature	. =				•		Date
Residence: City	Lake Oswego	State OR		Çoun	try US		Citizenship US
Mailing Address	17754 Treetop Lane	:					
Mailing Address							
City	Lake Oswego s	tate OR		Zip	97034	Col	untry US
Name of Additiona	ıl Inventor, if an	y		☐ A petition has been filed for this unsigned inventor			
Given N	lame (first and middle	(if any))		Family Name or Surname			
James William				Lea	hy		
inventor's Signature							Date
Residence: City	San Leandro	State CA	T	Coun	try US		Citizenship
Mailing Address	1185 Camellia Court						
Mailing Address		:					
City	San Leandro	State CA			2ip 94577	Co	ountry US

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The Information is required to obtain or retain a benefit by the public which This collection of information is required by 35 U.S.C. The and 37 CFR 1.03. The information is required to dotain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTC/S8/02A (09-04)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet

Page 3 of 3

r						
Name of Addition	nal Inventor, if an	ıy		A petition has been filed for this unsigned inventor		
Given	Name (first and midd)	e [if any])		Family Name or Surname		
Patrick				Kearney		
Inventor's Signature						Date
Residence: City	San Francisco	CA State	Co	US Puntry		US Citizenship
Mailing Address	195 Boçana Street					
Mailing Address						
City San Francisco		CA State	ZIF	974110	Co	US
Name of Additional Inventor, if any				A petition has bee	n liled for	this unsigned inventor
Given	Name (first and middle	(if any)		Family Name or Surname		
Douglas O.	2		H	Celler		
Inventor's Signature	un-	:				Date
Residence: City	Lake Oswego	State OR	Co	untry US		Citizenship US
Mailing Address	17754 Treetop Lane		•			
Mailing Address						<i>t</i>
City	Lake Oswego S	tate OR	. ZIp	97034	Cor	untry US
Name of Addition	al inventor, if an	у	A petition has been filed for this unsigned inventor			
Given	Name (first and middle	(il any))		Family Name or Sumame		
James William			L	eahy		
Inventor's Signature		:				Date
Residence: City	San Leandro	State CA	Cou	untry US		Citizenship US
Mailing Address	1185 Camellia Court	·				
Mailing Address						
City	San Leandro	State CA		Zip 94577	Со	untry

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 2313-1450. VA 22313-1450.

PTO/SB/02A (09-04)
Approved for use through 07/31/2006, OMB 0651-0032
U.S. Patent and Trademark Oilice; U.S. DEPARTMENT OF COMMERCE

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet

Page 3 of 3

Name of Addition	nal Inventor, if a	ny	A petition has been filed for this unsigned inventor			
Give	n Name (first and mide	dle [if any])	Family Name or Sumame			
Patrick	<u> </u>		Kearney			
Inventor's Signature				Date		
Residence: City	San Francisco	CA State	Country	US Citizenship		
Mailing Address	195 Bocana Street	ı				
Mailing Address						
City San Francisco		CA State	974110 ZIP	US Country		
Name of Addition	nal Inventor, if a	ny	A petition has been file	ed for this unsigned inventor		
Given Name (first and middle [if any])			Family Name or Surname			
Douglas O.			Keller			
Inventor's Signature				Date		
Residence: City	Lake Oswego	State OR	Country US	Citizenship US		
Mailing Address	17754 Treetop Lan	e				
Mailing Address						
City	Lake Oswego	State OR	Zip 97034	Country US		
Name of Addition	al inventor, if ar	ny	A petition has been filed for this unsigned inventor			
Given	Name (first and middl	e [if any])	Family Name or Surname			
James William			Leahy			
Inventor's Signature	William	det		Date 4/6/05		
Residence: City	San Leandro	Slate CA	Country US	Citizenship US		
Mailting Address 1185 Camellia Court						
Mailing Address		_				
City	San Leandro	State CA	Zip 94577	Country US .		

This collection of Information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

VA 22313-1450.

PTO/SB/02A (09-04)
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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet

Page 4 of 4

Name of Additional Inventor, if any			☐ A petition has been filed for this unsigned inventor			
Giveo	Name (first and middle	e (if anvi)	Family Name or Surname			
Ropert D.		- T 711	~	Mercer		
Inventor's Signature	Done-	:				4-04-05 Date
Residence: City	Comellus	OR State	Cod	US untry		US
Mailing Address	1984 SW Blooming	g-Fern Hill Rd				
Mailing Address						
City Cornelius		OR State	ZIP	97113	Cou	us ntry
Name of Additional Inventor, if any				A petition has been filed	d for th	nis unsigned inventor
Given	Name (first and middle	e [if any])	Family Name or Surname			
Michael			N	lorrissey		
Inventor's Signature						Date
Residence: City	Darwille	State CA	Cot	untry US		Citizenship US
Mailing Address	129 Alta Vista Way					
Mailing Address						
City	Danville S	tate CA	Zip	94506	Cou	ntry US
Name of Addition	al Inventor, if an	у .	☐ A petition has been filed for this unsigned inventor			
Given	Name (first and middle	· [if any])	Family Name or Surname			
Troy M.			S	wartwood		
Inventor's Signature						Date
Residence: City	Seattle	State WA	Cou	intry US	(Oltizenship US
Malling Address	4044 NE 58th Street					
Malling Address						
City	Seattle	State WA		Zip 98105	Cou	intry US

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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet

Page 4 of 4

Name of Additional Inventor, if an	у	A petition has been filed for this unsigned inventor			
Given Name (first and middle	e (if any))	Fa	mily Name or Surname		
Robert D.		Mercer			
Inventor's Signature	,		Date		
Residence: City Cornelius	OR OR	Country	US Citizenship		
Mailing Address 19641 SW Blooming	-Fern Hill Rd .				
Mailing Address					
City Cornelius	OR State	97113 ZIP	Country		
Name of Additional Inventor, if an	y :	A petition has been filed	for this unsigned inventor		
Given Name (first and middle	e [if any])	Fa	Family Name or Surname		
Michael		Morrissey			
Inventor's Signature William	~~/		Date 3-24. 2015		
Residence: City Danville	State A	Country US .	Citizenship		
Mailing Address 129 Alta Vista Way					
Mailing Address					
City Danville S	tate CA	Zip 94506	Country		
Name of Additional Inventor, if an	y	A petition has been filed for this unsigned inventor			
Given Name (first and middle	(it any))	Family Name or Surname			
Troy M.		Swartwood			
Inventor's Signature			Date		
Residence: City Seattle	State WA	Country	Chizenship		
Mailing Address 4044 NE 58th Street					
Mailing Address	:				
City Seattle	State WA	Zip 98105	Country US		

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APR-26-2005 12:16 EXELIXIS P.18/29

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ADDITIONAL INVENTOR(S)
Supplemental Sheet

Page 4 of 4

					
Name of Addition	nal Inventor, if an	у	☐ A petition has been filed for this unsigned inventor		
Give	n Name (first and middle	e (it anyl)		Family Name or Sumame	
Robert D.			Mercer		
Inventor's Signature				Date	
Residence: City	Comelius	State	Country	US Citizenship	
Mailing Address	19641 SW Blooming	-Fern Hill Rd			
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Name of Addition	nal Inventor, if an	у	A petition has been like	ed for this unsigned inventor	
Giver	Name (first and middle	[if any])	Family Name or Surname		
Michael			Morrissey		
Inventor's Signature				Date	
Residence: City	Danville	State CA	Country US	Citizenship US	
Mailing Address	129 Alta Vista Way				
Mailing Address		•			
City	Danville Si	tate CA	Zip 94506	Country US.	
Name of Addition	nal Inventor, if any	y	☐ A petition has been tiled for this unsigned Inventor		
Given	Name (first and middle	[if any])	Family Name or Surname		
Troy M.		.	Swartwood		
inventor's Signature	noy M Sw	artivos		Date 3/17/05	
Residence: City	Seattle	State WA	Country US	Cittzenship US	
Malling Address 4044 NE 58th Street					
Mailing Address					
City	Seattle	State WA	Zip 98105	Country US	

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ASSIGNMENT

WHEREAS we, David Clarence Hager, Jeffrey D. Donaldson, Patrick Kearney, Douglas O. Keller, James William Leahy, Robert D. Mercer, Michael Morrissey, and Troy M. Swartwood, have previously entered into an agreement with Exelixis, Inc., South San Francisco, CA, to assign all our right, title and interest in and to any and all inventions made or conceived or reduced to practice during the course of our employment with Exelixis, and further agreed to execute additional assignment documents to assist Exelixis with respect to its proprietary rights, and wherein we, during the course of our employment with Exelixis have invented *Multi-Well Apparatus* (hereinafter "Invention"), disclosed in an application for United States patent, said application filed on March 10, 2004, Serial No. 10/797,978.

We are informed that Exelixis, Inc., 170 Harbor Way, P.O. Box 511, South San Francisco, California 94083-511 is desirous of obtaining an assignment document that indicates that Exelixis Plant Sciences, Inc., a wholly owned subsidiary of Exelixis. Inc. (collectively hereinafter referred to as Assignee), has received all right, title and interest to the Invention.

Now, therefore, and in consideration of our employment and compensation paid to us by Assignee and other good and valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, we have assigned to said Assignee and said Assignee's legal representatives, successors and assigns, the entire right, title, and interest (a) in and to said application and said Invention; (b) in and to all rights to apply for foreign patents on said invention; (c) in and to any and all applications filed and any and all patents granted on said Invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, continuation or nonprovisional 37 CFR 1.53(b) application of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this agreement.

We hereby authorize the above-mentioned Assignee or its legal representative to insert in this instrument the filing date and serial number of said application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

We have conveyed to said Assignee the entire right to make application in its own behalf for protection of said Invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of the said United States application (or other application if any there be) in priority to other applications; and we have agreed that we will at any time upon request, without further or additional compensation or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable or perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, renewal, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such application or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of both parties.

Date: 4/26/05	By: David Clarence Hage
Date: 3/18 /2005	By: Online Jeffrey D. Donaldson
Date:	By: Patrick Kearney

Attorney Docket No: EX02-049C2

· APR-26-2005 12:17 EXELIXIS P.20/29

ASSIGNMENT

WHEREAS we, David Clarence Hager, Jeffrey D. Donaldson, Patrick Kearney, Douglas O. Keller, James William Leahy, Robert D. Mercer, Michael Morrissey, and Troy M. Swartwood, have previously entered into an agreement with Exelixis, Inc., South San Francisco, CA, to assign all our right, title and interest in and to any and all inventions made or conceived or reduced to practice during the course of our employment with Exelixis, and further agreed to execute additional assignment documents to assist Exelixis with respect to its proprietary rights, and wherein we, during the course of our employment with Exelixis have invented *Multi-Well Apparatus* (hereinafter "Invention"), disclosed in an application for United States patent, said application filed on March 10, 2004, Serial No. 10/797,978.

We are informed that Exelixis, Inc., 170 Harbor Way, P.O. Box 511, South San Francisco, California 94083-511 is desirous of obtaining an assignment document that indicates that Exelixis Plant Sciences, Inc., a wholly owned subsidiary of Exelixis, Inc. (collectively hereinafter referred to as Assignee), has received all right, title and interest to the Invention.

Now, therefore, and in consideration of our employment and compensation paid to us by Assignee and other good and valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, we have assigned to said Assignee and said Assignee's legal representatives, successors and assigns, the entire right, title, and interest (a) in and to said application and said Invention; (b) in and to all rights to apply for foreign patents on said invention; (c) in and to any and all applications filed and any and all patents granted on said Invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, continuation or nonprovisional 37 CFR 1.53(b) application of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this agreement.

We hereby authorize the above-mentioned Assignee or its legal representative to insert in this instrument the filing date and serial number of said application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

We have conveyed to said Assignee the entire right to make application in its own behalf for protection of said Invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of the said United States application (or other application if any there be) in priority to other applications; and we have agreed that we will at any time upon request, without further or additional compensation or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable or perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, renewal, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such application or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of both parties.

Date:	Ву:
	David Clarence Hager
Date:	Ву:
	Jeffrey D. Donaldson
Date: 3/24/65	By: All e
	Patrick Kearney

Date: 3/31/05	By WI
/ /	Douglas O. Keller
Date:	Ву:
	James William Leahy
Date:	Ву:
	Robert D. Mercer
Date:	Ву:
	Michael Morrissey
Date:	Ву:
	Troy M. Swartwood

Date:	By:
Date: 4/6/05	By:
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Date:	By: Michael Morrissey
Date:	By:

Date:	By: Douglas O. Keller
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	Douglas O. Keller
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	James William Leahy
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	Robert D. Mercer
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	Troy M. Swartwood

Date:	Ву:
	Douglas O. Keller
Date:	Ву:
	James William Leahy
Date:	Ву:
	Robert D. Mercer
Date:	Ву:
	Michael Morrissey
Date: 3/17/05	By: Troy M Swartwood
	Trou M. Swartwood

Serial #:10/797,978 Reference #: EX02-049C2 RECEIVED CENTRAL FAX CENTER APR 2 6 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Hager et al

Confirmation No: 5004

Serial No.: 10/797,978

Attorney Docket No: EX02-049C2

Filed: 3/10/2004

For: MULTI-WELL APPARATUS

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Commissioner of Patents Alexandria, VA 22313-1450

In the accompanying Information Disclosure Statement by Applicant, references 1-48 were previously cited in US patent application number 10/094,253, now issued US patent US6,852,290, which is relied upon for an earlier effective filing date under 35 U.S.C. 120. Thus, copies of these references are not provided herewith pursuant to 37 C.F.R. 1.98(d).

No Fees are believed to be due for the submission of this IDS. If this belief is in error, however, the Commissioner is authorized to charge any fees required for consideration of this paper and any other papers filed herewith to deposit account number 50-1108.

Respectfully submitted,

Dated: April 26, 2005

Laleh Shayesteh

Reg. No. 47,937

EXELIXIS, INC.

170 Harbor Way, P.O. Box 511

South San Francisco, California 94083-0511

Telephone: (650) 837-8223 Facsimile: (650) 837-8234

PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO		Complete if Known	
	Application Number	10/797,978	
INFORMATION DISCLOSURE	Filing Date	3/10/2004	
STATEMENT BY APPLICANT	First Named Inventor	Hager	
	Art Unit		

Attorney Docket Number

(Use as many sheets as necessary) Sheet of 3

Examiner Name

EX02-049C2

	U.S. PATENT DOCUMENTS							
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
Initials *	No.1	Number - Kind Code ² (# known)	MM-DD-YYYY	Cited Document				
	1	US- 3,319,792	5/16/67	Leder et al				
	2	US- 3,730,352	5/1/73	Cohen et al				
	3	US- 3,928,203	12/23/75	Kremer				
	4	US- 4,079,009	3/14/78	Seiler et al				
	5	US- 4,304,865	12/8/81	O'Brien et al				
	6	US- 4,483,925	11/20/84	Noack				
	7	US- 4,902,481	2/20/90	Clark et al				
	8	US- 5,047,215	9/10/91	Manns				
	9	US- 5,108,704	4/28/92	Bowers et al				
	10	US- 5,141,719	8/25/92	Fernwood et al				
	11	US- 5,208,161	5/4/93	Saunders et al				
	12	US- 5,324,483	6/28/94	Cody et ai				
	13	US- 5,679,310	10/21/97	Manns				
	14	US- 6,054,100	4/25/00	Stanchfield et al				
	15	US- 6,159,368	12/12/00	Moring et al				
	16	US- 4,623,461	11/18/86	Hossom et al				
	17	US- 4,693,834	9/15/87	Hossom				
	18	US- 4,734,192	3/29/88	Champion et al				
	19	US- 4,895,708	1/23/90	Root et al				
	20	US- 4,984,564	8/14/90	Root et al				

FOREIGN PATENT DOCUMENTS							
	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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U.S. PATENT DOCUMENTS							
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
Initials *	No.1	Number - Kind Code ² (if known)	MM-DD-YYYY	Circu Document			
	22	US-5,039,493	8/13/91	Oprandy			
	23	US- 5,205,989	4/27/93	Aysta			
	24	US- 5,264,184	11/23/93	Aysta et al			
	25	US- 5,283,039	2/1/94	Aysta			
	26	US- 5,364,533	11/15/94	Ogura et al			
	27	US- 5,464,541	11/7/95	Aysta et al			
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	31	US- 5,976,824	11/2/99	Gordon			
	32	US- 5.998,214	12/7/99	Guirguis			
	33	US- 5,417,923	5/23/95	Bojanic et al			
	34	US- 6,063,282	5/16/00	Moulton			
	35	US- 4,797,259	1/10/89	Matkovich et al			
	36	US- 6,225,079	5/1/01	Mueller			
	37	US- 3,405,706	10/15/68	Cinqualbre			
	- 38	US- 3,604,410	9/14/71	Whitacre			
	39	US- 5,104,375	4/14/92	Wolf et al			
	40	US- 3,696,806	10/10/72	Sausse			
	41	US- 5,505,721	4/9/96	Leach et al			

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patantee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patient Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here it English language Translation is attached.

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U.S. PATENT DOCUMENTS						
Examiner Initials	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevar	
	Cite No.'	Number - Kind Code ² (# known)		Cited Document	Passages or Relevant Figures Appear	
	42	US- 4,676,256	6/30/87	Golden		
	43	US- 2002/0004643	1/10/02	Carmel et al		
	44	US- 5,364,386	11/15/94	Fukuoka		
	45	US- 3,494,351	2/10/70	Harn		
	46	US- 3,848,581	11/19/74	Cinqualbre et al		
	47	US- 5,086,780	2/11/92	Schmitt		
	48	U5-6,314,412	5/24/94	Rex		
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	FOREIGN PATENT DOCUMENTS					
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Translation is attached.
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